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	Application Number	10/581,840		
TRANSMITTAL	Filing Date	June 5, 2006		
FORM	First Named Inventor	Adnan M.M. Mjalli et al.		
	Art Unit	1631		
(to be used for all correspondence after initial filing)	Examiner Name	To be Assigned		
otal Number of Pages in This Submission	Attorney Docket Number	TTP 2003-09		
				

Total Number of Pages in This Submission		Attorney Docket Number		TTP 2003-09					
ENCLOSURES (check all that apply)									
Fee Transmittal Form		Drawing(s)			After Allowance Communication to TC				
Fee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply	F	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After Final	. —	Petition to Convert to a Provisional Application			Proprietary Information				
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address			Status Letter				
Extension of Time Request	П	Terminal Disclaimer			Other Enclosure(s) (please identify below):				
C Everens Abandonment Bogue		Request for Refund			See Remarks				
Express Abandonment Request		CD, Number of CD(s)							
☐ Information Disclosure Statem	ent	☐ Landscape Table on CD							
Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53	Expre Cred Notifi Ex	Remarks Express Mail Certificate EV 740582127 US; Credit Card Payment Form PTO-2038 for \$1,125; Notification of Error in Payment as a Small Entity Status and Request That Error be Excused Under 37 CFR 1.28(c) (5 pages); and Return Postcard							
	SIGNATUI	RE OF A	APPLICANT, ATTOI	RNEY, O	R AGENT				
Firm		Kilpatrick Stockton LLP							
Signature	4								
Printed Name	Charle	Charles W. Calkins							
Date	March	March 21, 2007 3/26/07 Reg.		Reg. No	31,814				
CERTIFICATE OF TRANSMISSION/MAILING									
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.									
Signature				1					
Typed or printed name					Date				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail No. EV 740582127 US TTP 2003-09



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Adnan M.M. Mjalli, et al.

Serial No.

10/581,840

Filing Date

June 5, 2006

Title

Ligands for I7L as Modulators of Orthopox

Viruses and Methods for Discovery Thereof

Examiner

To be assigned

Group Art Unit

To be assigned

Atty. Docket

TTP 2003-09

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Notification Of Error In Payment As A Small Entity Status and Request That Error Be Excused Under 37 CFR 1.28(c)

Sir:

This paper is being filed pursuant to 37 CFR 1.28(c).

37 CFR 1.28(c) states:

- c) How errors in small entity status are excused. If status as a small entity is established in good faith, and fees as a small entity are paid in good faith, in any application or patent, and it is later discovered that such status as a small entity was established in error, or that through error the Office was not notified of a loss of entitlement to small entity status as required by § 1.27(g)(2), the error will be excused upon: compliance with the separate submission and itemization requirements of paragraphs (c)(1) and (c)(2) of this section:
- (1) Separate submission required for each application or patent. Any paper submitted under this paragraph must be limited to the deficiency payment (all fees paid in error), required by paragraph (c)(2) of this section, for one application or one patent. Where

more than one application or patent is involved, separate submissions of deficiency payments (e.g., checks) and itemizations are required for each application or patent. See \S 1.4(b).

- (2) Payment of deficiency owed. The deficiency owed, resulting from the previous erroneous payment of small entity fees, must be paid.
- (i) Calculation of the deficiency owed. The deficiency owed for each previous fee erroneously paid as a small entity is the difference between the current fee amount (for other than a small entity) on the date the deficiency is paid in full and the amount of the previous erroneous (small entity) fee payment. The total deficiency payment owed is the sum of the individual deficiency owed amounts for each fee amount previously erroneously paid as a small entity. Where a fee paid in error as a small entity was subject to a fee decrease between the time the fee was paid in error and the time the deficiency is paid in full, the deficiency owed is equal to the amount (previously) paid in error;
- (ii) Itemization of the deficiency payment. An itemization of the total deficiency payment is required. The itemization must include the following information:
- (A) Each particular type of fee that was erroneously paid as a small entity, (e.g., basic statutory filing fee, two-month extension of time fee) along with the current fee amount for a non-small entity;
- (B) The small entity fee actually paid, and when. This will permit the Office to differentiate, for example, between two one-month extension of time fees erroneously paid as a small entity but on different dates;
- (C) The deficiency owed amount (for each fee erroneously paid); and
- (D) The total deficiency payment owed, which is the sum or total of the individual deficiency owed amounts set forth in paragraph (c)(2)(ii)(C) of this section.
- (3) Failure to comply with requirements. If the requirements of paragraphs (c)(1) and (c)(2) of this section are not complied with, such failure will either: be treated as an authorization for the Office to process the deficiency payment and charge the processing fee set forth in § 1.17(i), or result in a requirement for compliance within a one-month non-extendable time period under § 1.136(a) to avoid the return of the fee deficiency paper, at the option of the Office.

In accordance with the above-identified rule, Applicants present the following relevant facts.

Facts

When this application was filed on June 5, 2006, each of the inventors was under an obligation to assign all of their rights in the application to TransTech Pharma ("TransTech") and assignments were subsequently recorded with the United States Patent and Trademark Office (USPTO). Entitlement to small entity status was asserted, and the application was accorded small entity status by the USPTO.

After the filing fee was paid, it was discovered that TransTech did not qualify for small entity status when the filing fee was paid on June 5, 2006. In other words, small entity status was asserted in good faith, but in error, at the time the filing fee was paid, and this fact was only discovered by TransTech after payment of the filing fee.

Remarks

The present application was filed on June 5, 2006. Based on the good faith belief that TransTech qualified as a small entity when this application was filed, entitlement to small entity status was asserted for this application. The USPTO accorded small entity status to this application, and small entity fees have been paid throughout the prosecution of the application.

In accordance with 37 CFR 1.28(c), Applicants respectfully request that the erroneous payment of small entity fees be excused.

In accordance with 37 CFR 1.28(c)(2), Applicants respectfully submit that the deficiency owed to the USPTO is \$1,125. Enclosed is Form PTO-2038, Credit Card Payment Form, authorizing payment of \$1,125, which represents the difference between the filing fees that should have been paid and the total fees paid to date including the filing fees paid on June 5, 2006, and the fee correction on February 22, 2007.

In accordance with 37 CFR 1.28(c)(2)(ii)(A-D), the following provides an itemized list of the deficiency payment:

Type of Fee Erroneously Paid	Current Fee Amount for Non- Small Entity	Small Entity Fee Paid	Date Paid	Deficiency Owed	
Basic National Fee	\$300.00	\$150.00	06/05/2006	\$150.00	
Examination Fee	\$200.00	\$100.00	06/05/2006	\$100.00	
Search Fee	\$400.00	\$200.00	06/05/2006	\$200.00	
Excess Independent Claims Fee	\$1,200.00	\$600.00	06/05/2006	\$600.00	
Application Size Fee	\$250.00	\$ 50.00	06/05/2006	\$75.00	
		\$125.00	02/22/2007		
Total Deficiency Owed:					

Pursuant to 37 CFR 1.33(b), a patent practitioner or recorder who is appointed in compliance with 37 CFR 1.32(b) has signed this document.

Applicants believe that no additional fee other than the fee discussed above is necessary, however, should a fee be deemed to be necessary, the Commissioner is hereby authorized to charge any fees required by this action or any future action to Deposit Account No. 16-1435.

Adjustment date: 03/30/2007 MKAYPAGH 06/13/2006 MKAYPAGH 00000075 10581840 01 FC:2631 -150.00 OP 02 FC:2642 -200.00 OP 03 FC:2633 -100.00 OP 04 FC:2614 -600.00 OP

Adjustment date: 03/30/2007 MKAYPAGH 02/07/2007 SVIGIL 00000002 161435 10581840 01 FC:2681 125.00 CR

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Should the Examiner have any questions relating to the instant application, the Examiner is invited to telephone the undersigned at (336) 841-0300 (Ext. 159) to discuss any issues.

Respectfully submitted,

Date: March 21, 2007

Samuel B. Rollins (Reg. No. 52,180)

TRANSTECH PHARMA

4170 Mendenhall Oaks Pkwy, Suite 110

High Point, NC 27265

Phone: (336) 841-0300, Ext. 159

Facsimile: (336) 841-0310

Date: 3/26/07

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Applicant(s)

Adnan M.M. Mjalli et al. :

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I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and are addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sue H. Memory

Date Mailed: March 26, 2007